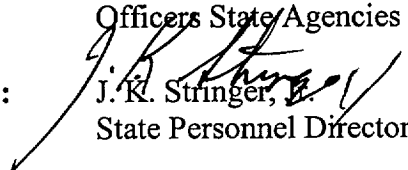




APM 0303

**Policy Memorandum No. 3 -FY 2003**

**TO:** Elected Officials, Agency Directors and Personnel  
Officers State Agencies

**FROM:**   
J. K. Stringer,  
State Personnel Director

**DATE:** April 25, 2002

**SUBJECT: LEGAL SERVICES CONTRACT POLICIES AND ADMINISTRATIVE PROCEDURES FOR FISCAL YEAR 2003**

This memorandum provides the policy and administrative procedures for submitting required documentation to implement Fiscal Year 2003 Contract Requests for Legal Services within state service agencies. These policies and procedures have been promulgated pursuant to Sections 25-9-133 and 27-104-105 Mississippi Code of 1972, Annotated, as amended. These provisions shall supersede all conflicting policies and procedures for administration of contracts for legal services published in the Mississippi State Personnel Board Policy and Procedures Manual, any additional or replacement manuals, and all subsequent changes to the manual, effective close of business June 30, 2002, and shall become an official attachment to the Mississippi State Personnel Board Policy and Procedures Manual for Fiscal Year 2003.

The following guidelines incorporate a single set of procedures which are designed to assist state agencies in compliance with requirements of state law prescribing the process for payment of legal services performed by attorneys and their assistants.

**A. Legal Services Contracts - Authority**

This policy is promulgated in accordance with Senate Bill 2512 of the 1991 Mississippi Legislative Session and Section 27-104-105. Mississippi Code of 1972, Annotated, as amended.

1. Senate Bill 2512, General Laws of Mississippi, 1991 states, in part:

SECTION 1. The Department of Finance and Administration shall not process any warrant requested by any state agency for payment of legal services without first determining that the services and contract were approved by the Attorney General



APM 0303

and the State Personnel Board. The State Auditor shall test for compliance with this section.

SECTION 2. The State Auditor shall, when conducting agency audits, test to determine whether or not the state institutions of higher learning and any state agency which does not draw warrants on the Treasury have received approval of the Attorney General for any contract for legal services.

SECTION 3. This act shall take effect and be in force from and after its passage. Approved: March 29, 1991 [1991 Miss. Leg. Sess., Chap. No. 473]

2. **Section 27-104-105** of the Mississippi Code of 1972, Annotated, as amended, **Senate Bill 2512** and states as follows:

The Department of Finance and Administration shall not process any warrant requested by any state agency for payment for legal services without first determining that the services and contract were approved by the Attorney General and the State Personnel Board. The State Auditor shall test for compliance with this section.

**B. Scope and Application of Policies Regarding Legal Services Contracts**

1. These policies will be applied by the State Personnel Board in the enforcement of **Section 27-104-105, Mississippi Code of 1972, Annotated, as amended**. The policies are intended to be consistent with existing law.
2. These policies apply to personal and professional agreements made between State agencies and persons for **legal services** to be rendered during Fiscal Year 2003. The term "agreements" means written or oral contracts which involve process of payment for legal services by the Department of Finance and Administration. The term "persons" means attorneys, law firms, and other individuals who would perform legal services for an attorney or law firm.



APM 0303

3. For the timely, effective and efficient implementation of the State Personnel System, the State Personnel Board, in accordance with the authority vested in said Board by Section 25-9-115(d) of the Mississippi Code of 1972, Annotated, as amended, delegates to the State Personnel Director the authority to review and approve or disapprove legal services contracts in an amount not to exceed a total of \$100,000 per contract, including modifications thereto within the fiscal year. Legal services contracts in an amount exceeding a total of \$100,000 per contract, including modifications thereto within the fiscal year, must be presented to the State Personnel Board for approval or disapproval.
4. Legal services contracts entered into by the Mississippi Department of Transportation and approved by the State Attorney General involving eminent domain activities are not affected by these policies.
5. Legal services contracts entered into by the Office of Attorney General and state agencies for special assistant attorneys general are not affected by these policies.

**C. Administrative and Processing Procedures Regarding Legal Services Contracts**

1. Procedures

- a. Requests to enter into contracts for legal services, including renewals and modifications, for Fiscal Year 2003 shall be submitted to the Office of the Attorney General prior to submission to the State Personnel Board.
- b. All agencies online to SPAHRS shall have an authorized representative trained by Mississippi Management Reporting Systems (MMRS) representatives on the relevant screens, navigational procedures, and routing processes. The agency representatives will also receive a SPAHRS manual delineating online contractual request procedures to be used as a guide for all requests made online.

Online agencies should print out one copy of all relevant screens from the SPAHRS system and submit this copy, along with the proposed contractual agreement signed by the agency head, at the same time the request is transmitted for approval online through SPAHRS. Relevant screenprints can be made by using the Request For Contract Personnel Services Approval Report option found under the Miscellaneous Contracts Report Menu within the Contract Segment of SPAHRS. Printed hard copies will include space for



APM 0303

the requesting agency's authorized signature and required signatures from the Attorney General and the State Personnel Board/Director. The section outlining the agency justification for legal services and the consequences of disapproval must be completed prior to submission. The SPAHRS Request For Contract Personnel Services Approval Report should have all authorized agency signatures.

- c. The contract proposed to be executed by State agencies and one copy of the screenprint of the SPAHRS "Request For Contract Personnel Services Approval Report" [also known as SPB 620-88 Request for Contract Personnel Services Approval Form (Rev 5/1/97)] shall be made a part of the request for legal services.
  - d. **All contractual agreements must be signed by the appropriate state government authorities.** NOTE: The signature of the contracting vendor should not be obtained until after the proposed contract is approved by the Office of the Attorney General and the State Personnel Board. Agencies which are **NOT** also online SAAS users must forward to DFA a hard copy of a screenprint which indicates that SPB has approved the contract.
  - e. The Office of the Attorney General will forward the contractual documents (the proposed original contract and Request For Contract Personnel Services Approval Report) to the State Personnel Board following approval by the Attorney General. After the proposed contract has been reviewed and approved by the State Personnel Board/Director, it will be returned to the requesting agency for final execution along with a signed copy of the screenprint of the SPAHRS Request For Contract Personnel Services Approval Report. A copy of the proposed contract as approved by the Office of the Attorney General and State Personnel Board will be forwarded to the Office of the Attorney General.
2. The requests and documents required in the above section C.1. must be received in the office of the State Personnel Director by **Friday, May 24, 2002**.
  3. Renewals, amendments or modifications of existing legal services contracts that will be effective **July 1, 2002** shall be handled in accordance with the above procedural sections C.1. and 2. Submission of a new screenprint of the SPAHRS Request for Contract Personnel Services Report is required for the new fiscal year. A copy of the previously approved request and any other relevant supporting documentation,



APM 0303

including a copy of an existing multi-year contractual agreement shall be attached to the request and submitted by May 24, 2002. The original contractual agreement should not be submitted for request for renewals or modifications.

4. Contracts proposed to be entered into at other times during Fiscal Year 2003 shall be subject to procedure C.1. and should normally receive State Personnel Board consideration at a next regularly scheduled Board Meeting. Documents received by the State Personnel Board less than (10) working days prior to a scheduled Board meeting will be considered by the State Personnel Board at a subsequent meeting, in absence of submission and acceptance of evidence which substantiates an emergency.
5. Accordingly, all Fiscal Year 2003 requests for legal services shall contain the minimum following materials:
  - a. The original contract approved by the Office of the Attorney General. The proposed contract should be submitted to the Office of the Attorney General and the State Personnel Board prior to execution by the legal services contractor.
  - b. Identification of the attorneys and other legal professionals by name, address, telephone number and employer identification number of the individual(s) who will perform the legal services.
  - c. **Justification of need for legal services including a description of the scope of services and a certified listing of funds expended for legal fees and expenses by the State agency for the previous fiscal year (FY 2002). This listing should also contain the information required in section C.5.b above.**
6. The agency shall notify the State Personnel Board upon termination of previously approved contracts for legal services or the completion of the performance of services prior to the original terms of the contract (expiration date) in accordance with the following provisions:
  - a. The original approved "Request for Contract Personnel Services Approval Report" [SPB Form 620-88 (Rev 5/1/97)] shall be submitted to the State Personnel Director specifying the termination of services and effective date.



APM 0303

- b. The State Personnel Director shall acknowledge the termination of services submitted and return the original processed "Request for Contract Personnel Services Approval Report" to the agency.
- c. The Department of Finance and Administration shall be notified to this action.

Should you require assistance or have questions concerning this Policy Memorandum, please contact Bob Fagan, Office of General Counsel, Mississippi State Personnel Board at 359-2704.

**Recommendation:**

Approval of Policy Memorandum No. 3, effective July 1, 2002. Further, if there are no public comments or substantive changes thirty (30) days after filing with the Secretary of State pursuant to the Administrative Procedures Law, that the proposed policy will be refiled as final action for adoption.